



Research Matching Grants Funding Criteria

The ETF Research Grant Matching goal is to create public-private partnerships which leverage the unique strengths of universities, federal grant programs, and industry to secure research funds from outside the state in key technical and scientific areas that contribute toward the growth of our emerging-technology economy.

Two Big Goals:

- Draw down non-state of Texas grants to Texas
- Increase the commercialization capacity of our higher education institutions



Research Matching Grants Funding Criteria

Who can apply for Subchapter E funds?

All RGM projects must be “consortium led”.

- One or more companies
- One or more institutions of higher education
- If just one company there must be at least two higher education institutions
- Need more than 3 entities to be competitive
- If the state can take equity, we will.



Research Matching Grants Funding Criteria

Submitted by a fiscal agent acting on behalf of the consortium:

- Non-Profit Association (501 3c)
- Texas Institution of Higher Education, either public or private
- No individual company may act as the fiscal agent of the consortium.



Research Matching Grants Funding Criteria

Qualifying Matching Funds

- Funds from this subchapter will be granted only in cases where state-of-Texas funds are required to leverage other non-state funds. These awards will be reserved for projects that are competitive at the national and international level.
- Funding sources from entities that are applicants within the consortium will not be considered as qualified matched funds
- Applications will not be considered prior to application for external funding.



Research Matching Grants Funding Criteria

Keys to success:

Remember the first goal of the RGM subchapter. To draw down non-state grant funding.

- Projects will be considered for approval only if ETF funding would be used to leverage new non-state grant funding to Texas
- Think Big, project should change an entire industry, or multiple industries



Research Matching Grants Funding Criteria

ETF funding will provide a maximum of a 1:1 match with non-state funding sources.

- Need much more than 1:1 to be competitive. Preference given to proposal with greater than 1:1 match potential.
- Preference given to proposals that draw down federal grant funding



Research Matching Grants Funding Criteria

What can Subchapter E funds used for?

Commercialization activities, including, but not limited to:

- Process refinement
- Federal Approval (FDA)
- Scale-up and manufacturing
- Development of commercialization plan
- Marketing

ETF Subchapter E funds can not be used for basic research.



Research Matching Grants Funding Criteria

What is the form of the Subchapter E award?

- Debt instrument
- Any mechanism the Advisory Council feels would assist the project in garnering non-state grant funding and maintains the state's ROI
- Advisory Council's decision, not the applicants



Research Superiority Acquisition Funding Criteria

- GOAL: to bring the best and brightest research talent in the world to Texas.

Who is that?

- World class CV
- Significant commercialization experience to include:
 - Patents filed
 - Company formation



Research Superiority Acquisition Funding Criteria

Who is eligible?

- Texas Institutions of Higher Education

Don't Steal

- No institution may knowingly use Subchapter F funding to secure research talent from another Texas public or private institution of higher education.
- 3 year ban from program if caught



Research Superiority Acquisition Funding Criteria

The Required Match

- 1/3 of project funding from Applying Entity
- 1/3 of project funding from Industry Partners
- 1/3 of project funding from the Texas Emerging Technology Fund



Research Superiority Acquisition Funding Criteria

What do ETF funds pay for?

- Financial incentives (salaries, benefits, travel) for research talent
- Includes research staff as well as lead researcher
- Key infrastructure specific to research project (capital equipment, construction and renovation of facilities)
- Any resource necessary to secure the key research talent



Research Superiority Acquisition Funding Criteria

What form is the Subchapter F award?

This is a grant from the State of Texas. There is no loan, nor does the state take equity in any specific company involved.

Specific actions based on the individual contract between the fiscal agent and the Office of the Governor must be maintained or provisions exist or recover the award.



Research Superiority Acquisition Funding Criteria

- An amount totaling 5% but not to exceed \$200k of each grant will be invested in the applying higher education institution's technology transfer office. This amount must be matched at least 1:1 by the university receiving funding.
- Applications will only be considered from those institutions that the ETF Advisory Committee has certified as having sufficient tech transfer processes and capabilities to meet the needs of the researches being recruited.



Subchapters E and F

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Subchapters E and F

Questions?



Research Matching Grants Application Process

Application Driven Process

- Application can be found at www.emergingtechfund.com
- Application deadlines also listed on web site
 - Can apply off-schedule if granting entity's schedule requires earlier date.
- Apply directly to ETF Staff within the OoG
 - HIGHLY recommend an RCIC review your application prior to sending it to the ETF Staff
- First application sent is not the final application



Research Matching Grants Application Process

Role of the ETF Staff

Our role is to work with the applicant to accurately portray the project application to the Advisory Committee and ETF Leadership

This may include:

- Assisting the applicant in rewriting the application
- Suggesting other supporting graphs and documentation
- Independently contacting consortium members and granting entities for more detail

Staff can not be a project advocate



Research Matching Grants Application Process

After submission to ETF Staff, Subchapter E applications follow the same path as the Subchapter D awards:

- Scheduled for Application Presentation Day
- If approved, forwarded to the full ETF Advisory Committee
- If recommended, forwarded to ETF Leadership
- If approved, move to contract phase with Office of the Governor
- Funds disbursed by Office of the Governor
- Process can take anywhere from 90 days to 10 months



Research Matching Grants Application Process

Keys to success:

Large, complex projects with multiple consortium members and multiple funding sources.

- Expect changes and further refinement from Advisory Committee and ETF Leadership
- Commercialization process in order and well defined, documented.
- Show the state's ROI
- Respect the competitive nature of the awards



Research Superiority Acquisition Process

How to apply:

No application currently exists

Submit an Application Proposal to include:

- Description of project
- Targeted research talent
- Industry partner involvement
- Commercialization process
- Amount of ETF funds requested
- Use of funds

Submit a project proposal directly to ETF staff



Research Superiority Acquisition Process

What happens next?

ETF Staff review the Application Proposal

- Primarily looking to ensure legislative and ETF policy compliance
- Assist applicant in making Application Proposal edits to ensure accuracy and “tell your story”.

Application Proposal then forwarded to ETF Advisory Committee

If recommended, forwarded to ETF Leadership for approval

If unanimously approved, move to contract phase with the Office of the Governor

Funds disbursed by Office of the Governor

- Total process can take from 90 days to a 12 months, mostly depending on applicant



Research Superiority Acquisition Process

Hunting License

Used when the applicant has everything but the key research talent secured.

Same process until Advisory Council.

Advisory Council will set a dollar amount on each key research member and approve the project as a whole.

If ETF Leadership approves the application, the applicant has a set date to identify research talent.

Applicant must return to ETF staff with targeted research talent within that period of time.

Contract for funding is entered

Funds will be disbursed only when Advisory Council and Leadership approve of specific identified researchers.



Research Superiority Acquisition Process

The key to a successful application proposal:

Meeting criteria alone does not guarantee funding.

The process is competitive, be competitive.

Funds are very limited and will be disbursed based on what the Advisory Council and the Leadership view as the best use of state dollars.



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